

Docket No.: M4065.0698/P698

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Kristy A. Campbell et al.

Application No.: 09/943,190

09/943,190 Group Art Unit: 2818

Filed: August 29, 2001 Examiner: Not Yet Assigned

For: METHOD OF FORMING NON-

VOLITILE RESISTANCE VARIABLE DEVICES, METHODS OF FORMING A PROGRAMMABLE MEMEORY CELL OF MEMORY CIRCUITRY, AND A NON-VOLATILE RESISTANCE

VARIABLE DEVICE

Assigned

TECHNOLOGY CENTER 2800

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached PTO/SB/08 and to the specific citations listed in Appendix A of this Supplemental Information Disclosure Statement. It is respectfully requested that the subject matter of these documents and citations be expressly considered during the prosecution of this application and that the documents be made of record therein and appear among the "References Cited" on any patent to issue form this application. A copy of each document is attached.

This request to review documents and specific citations, however, is not an admission that a particular document is "material" or that it qualifies as prior art.

Furthermore, this list of specific citations is not intended to be exhaustive of all relevant materials. Thus, it is respectfully requested that the Examiner thoroughly review all references, including those cited in the attached form PTO/SB/08 and those previously cited, for relevance to the claimed invention.

A brief explanation of relevance of the non-patent documents listed on form PTO/SB/08 is provided and attached hereto as Appendix B. The brief explanation provided for each document is not tantamount to an admission that a document is "material" or that it qualifies as prior art. The Examiner is respectfully requested to utilize Appendix A only as a tool by which to better categorize the documents for substantive use in examining the claims of the application.

Documents discussed in Appendix B marked with an asterisk (*) are indicated to be potentially more relevant than others. Such marking is provided only to assist the Examiner; however, the Examiner is requested to thoroughly review all documents cited herein.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 C.F.R. § 1.98 and the Examiner is respectfully requested to consider and cite the listed documents.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. M4065.0698/P698. A duplicate copy of this paper is enclosed.

Dated: November 25, 2002

Respectfully arbmitted,

Ву_____

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APPENDIX A

1. U.S. Patent Application 2002/0168820, Kozicki et al., published November 14, 2002, at Page 6 and Fig. 1, discloses a method of forming a microelectronic programmable device having an chalcogenide ion conductor formed between two electrodes. This application further discloses forming a chalcogenide ion conductor "using thermal and/or photo dissolution processing" (Page 5).

- 2. PCT Application WO 02/21542, Kozicki et al., published March 14, 2002, at Page 15 and Fig. 1, discloses a method of forming a microelectronic programmable device having an chalcogenide ion conductor formed between two electrodes. This application further discloses forming a chalcogenide ion conductor using "thermal and/or photo dissolution processing" (Page 11, lines 15-18).
- 3. PCT Application WO 00/48196, Kozicki et al., published August 17, 2000, at Page 8, lines 20-30 and Fig. 1, discloses a method of forming a microelectronic programmable device having an chalcogenide ion conductor formed between two electrodes. This application further discloses forming a chalcogenide ion conductor using "thermal and/or photo dissolution processing" (Page 7, lines 12-15).
- 4. U.S. Patent 6,418,049, Kozicki et al, filed Dec. 4, 1997, at Column 4, lines 28-67, discloses a "programmable sub-surface aggregating metallization structure" having a chalcogenide ion conductor and a plurality of electrodes. This patent further discloses forming a chalcogenide ion conductor using a photo dissolution process. (Column 4, lines 48-60).
- 5. U.S. Patent 5,761,115, Kozicki et al., filed May 30, 1996, at Columns 4-5, discloses a "programmable metallization cell" having a chalcogenide ion conductor and a plurality of electrodes. This patent further discloses forming a chalcogenide ion conductor using a photo dissolution process. (Column 5, lines 32-45).

APPENDIX B

Abdel-All, et al., Vacuum 59 (2000) 845-853: published in December, this document generally relates to, inter alia, the electrical properties of Ge₅As₃₈Te₅₇ as a function of temperature.

*Adler and Moss, J. Vac. Sci. Technol. 9 (1972) 1182-1189: this document generally relates to, <u>inter alia</u>, two types of electrical/material switching – threshold and memory, in amorphous materials; the effects of temperature, pressure, and frequency on switching; and the physics of threshold voltage and memory.

Adler et al., Ref. Mod. Phys. 50 (1978) 209-220: this document generally relates to, <u>inter alia</u>, threshold switching in amorphous alloys, state ("on" and "off") characteristics, and glass properties.

Afifi, et al., Appl. Phys. A 55 (1992) 167-169: this document generally relates to, inter alia, SeGe-Sb glasses.

*Afifi, et al., J. Phys. 17 (1986) 335-342: this document generally relates to, inter alia, electrical and thermal conductivity of Ge_xSe_{1-x} compositions as a function of temperature. Ge₂₅Se₇₅ stoichiometry is disclosed.

Alekperova and Gadzhieva, 23 (1987) 137-139: this document generally relates to, inter alia, a characteristic diode state in Ag_2Se compositions upon heating (to 376-400°K).

*Aleksiejunas and Cesnys, Phys. Stat. Sol. (a) 19 (1973) K169-K171: this document generally relates to, <u>inter alia</u>, the subjects of selenium investigation and how Se-Ag₂Se contributes silver ions to a selenium composition.

Angell, Annu. Rev. Phys. Chem. 43 (1992) 693-717: this document generally relates to, inter alia, the presence of ion conductors in solids.

Aniya, Solid State Ionics 136-137 (November 2,2000) 1085-1089: this document generally relates to, <u>inter alia</u>, ion conductor glasses.

Asahara and Izumitani, J. Non-Cryst. Solids 11 (1972) 97-104: this document generally relates to, inter alia, Cu-As-Se glass.

Asokan, et al., Phys. Rev. Lett. 62 (1989) 808-810: this document generally relates to, inter alia, Ge_xSe_{100-x} glasses and their transition from semiconductor-like material to metal-like material.

Baranovskii and Cordes, J. Chem. Phys. 111 (1999) 7546-7557: this document generally relates to, <u>inter alia</u>, ionic glasses and conduction (percolation theory).

Belin et al., Sol. St. Ionics 136-137 (November 2,2000) 1025-1029: this document generally relates to, inter alia, conductivity spectra of the glass 0.5Ag₂S-0.5GeS₂ and the temperature dependency of the conductivity.

Belin, et al., Solid State Ionics 143 (July 2,2001) 445-455: this document generally relates to, <u>inter alia</u>, the electrical properties of Ag₇GeSe₅I – an argyrodite compound.

Benmore and Salmon, Phys. Rev. Lett. 73 (1994) 264-267: this document generally relates to, <u>inter alia</u>, the characteristics of chalcogenide alloys.

Bernede, Thin Solid Films 70 (1980) L1-L4: this document is in the French language and the Applicant has no translation. It is presently understood to generally relate to, inter alia, metal-Ag₂Se-metal sandwich devices.

Bernede, Thin Solid Films 81 (1981) 155-160: this document generally relates to, <u>inter alia</u>, memories of selenium alloys with metal (e.g., Ag) electrodes, where the "on" memory states require constant voltage.

Bernede, Phys. Stat. Sol. (a) 57 (1980) K101-K104: this document generally relates to, <u>inter alia</u>, metal-Ag₂Se-P systems.

Bernede and Abachi, Thin Solid Films 131 (1985) L61-L64: this document generally relates to, inter alia, metal-insulator-metal thin films with electroforming effects; the films have silver, gold and copper electrodes.

*Bernede, et al., Thin Solid Films 97 (1982) 165-171: this document generally relates to, inter alia, Ag2Se/Se/Metal thin film sandwiches, which were studied by shape of electrodes (e.g., symmetrical or asymmetrical).

Bernede, et al., Phys. Stat. Sol. (a) 74 (1982) 217-224: this document generally relates to, inter alia, switching in Al-Al₂O₃Ag_{2-x}Se_{1+x} devices.

Bondarev and Pikhitsa, Solid State Ionics 70/71 (1994) 72-76: this document generally relates to, inter alia, ${\rm Ag^{(\cdot)}/RbAg_4I_5}$ boundary – depletion layer, and dendritic electrodeposition.

*Boolchand, Asian Journal of Physics (2000) 9, 709-72: this document generally relates to, <u>inter alia</u>, Ge_xSe_{1-x} glasses, which have selenium-rich and germanium-rich clusters, and the intrinsically-broken bond characteristics thereof.

*Boolchand and Bresser, Nature 410 (2001) 1070-1073: published April 26, this document generally relates to, <u>inter alia</u>, Ag₂Se as an electrolyte additive to glass, e.g., GeSe₄. Ge₃₀Se₇₀ glass was found not to work well because of Ag₂Se crystallization.

*Boolchand, et al., J. Optoelectronics and Advanced Materials, 3 (September 2001), 703: this document generally relates to, <u>inter alia</u>, a review of Raman tool scattering of chalcogenide glasses. The floppyness and rigidness is observed. Ge_xSe_{1-x} is disclosed, as is a stoichiometry of Ge₂₅Se₇₅.

Boolchand and Grothaus, Eds. Chadi and Harrision, Proc. Int. Conf. Phys, Semicond., 17th (1985) 833-36: this document generally relates to, <u>inter alia</u>, GeSe and GeS glasses and the importance of a broken chemical order therein.

*Boolchand, et al., Properties and Applications of Amorphous Materials, M.F. Thorpe and Tichy, L. (eds.) Kluwer Academic Publishers, the Netherlands, 2001, pp. 97-132: this document generally relates to, <u>inter alia</u>, the prediction of glass rigidity in Ge_xSe_{1-x} glass, e.g., Ge₂₃Se₇₇.

*Boolchand, et al., Diffusion and Defect Data, Vol. 53-54 (1987) 415-420: this document generally relates to, inter alia, thermal annealing of Ge_xSe_{1-x} films.

*Boolchand, et al., Phys. Rev. B 25 (1982) 2975-2978: this document generally relates to, <u>inter alia</u>, the examination of GeSe glass having Sn impurities by Mossbauer spectroscopy. Investigations into glass network topology, which has an intrinsically broken bond backbone, suggesting Ge and Se rich clusters.

Boolchand, et al., Sol. State Comm. 45 (1983) 183-185: this document generally relates to, inter alia, Ge_xSe_{1-x} and Ge_xS_{1-x} glasses.

*Boolchand and Bresser, Dep. Of ECECS, Univ. Cincinnati 45221-0030: this document generally relates to, inter alia, Ge_xSe_{1-x} and the relation of glass transition temperature to Ge concentration in backbone. Although the publication date of this reference is not known to the Applicant, it was revised October 28, 1999 and is believed to be publicly available at the University of Cincinnati, Department of Electrical and Computer Engineering and Computer Science.

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Bresser, et al., Phys. Rev. Lett. 56 (1986) 2493-2496: this document generally relates to, inter alia, an investigation of c-GeSe₂ structure.

Bresser, et al., J. de Physique 42 (1981) C4-193-C4-196: this document generally relates to, inter alia, the characteristics of GeSe₂ and GeS₂ glasses.

Bresser, et al., Hyperfine Interactions 27 (1986) 389-392: this document generally relates to, inter alia, germanium selenide glasses doped with tellurium.

Cahen, et al., Science 258 (1992) 271-274: this document generally relates to, inter alia, chalcopyrite CuInSe₂ glasses.

Chatterjee, et al., J. Phys. D: Appl. Phys. 27 (1994) 2624-2627: this document generally relates to, inter alia, As_xTe_{100-x-y}Se_y glasses and the current, voltage, and electrical switching behavior. Discloses applicability in read mostly memories.

*Chen and Tai, Appl. Phys. Lett. 37 (1980) 1075-1077: this document generally relates to, <u>inter alia</u>, silver photodoping of Ge_xSe_{1-x} and whisker formation (crystalline Ag₂Se).

Chen and Cheng, J. Am. Ceram. Soc. 82 (1999) 2934-2936: this document generally relates to, inter alia, germanium containing chalcogenides doped with Si₃N₄.

Chen, et al., J. Non-Cryst. Solids 220 (1997) 249-253: this document generally relates to, inter alia, As₁₀Ge₃₀Se₆₀ glasses (and the like) doped with Si₃N₄.

Cohen, et al., J. Non-Cryst. Solids 8-10 (1972) 885-891: this document generally relates to, inter alia, Ge-Te-X glasses as memory devices.

Croitoru, et al., J. Non-Cryst. Solids 8-10 (1972) 781-786: this document generally relates to, inter alia, the physics of conductivity in Ge-containing films.

Dalven and Gill, J. Appl. Phys. 38 (1967) 753-756: this document generally relates to, inter alia, beta-Ag₂Te.

Davis, Search 1 (1970) 152-155: this document generally relates to, <u>inter alia</u>, the subject of amorphous semiconductors as compared to glass.

*Dearnaley, et al., Rep. Prog. Phys. 33 (1970) 1129-1191: this document generally relates to, inter alia, background information about glass and memory.

*Dejus, et al., J. Non-Cryst. Solids 143 (1992) 162-180: this document generally relates to, <u>inter alia</u>, Ag-Ge-Se glass with Ag primarily bonded to Se. The reference discloses glass preparation.

den Boer, Appl. Phys. Lett. 40 (1982) 812-813: this document generally relates to, inter alia, a-Si:H sandwich structures and threshold switching from a low to high conductance.

Drusedau, et al., J. Non-Cryst. Solids 198-200 (1996) 829-832: this document generally relates to, inter alia, work with a-Si:H multilayers optoelectrical properties.

El Bouchairi, et al., Thin Solid Films 110 (1983) 107-113: this document generally relates to, inter alia, $Ag_{2-x}Se_{1+x}$ thin film electrical characteristics and metal-like conduction.

El Gharras, et al., J. Non-Cryst. Solids 155 (1993) 171-179: this document generally relates to, <u>inter alia</u>, photoconductivity of amorphous Se and Ge-Se alloy evaporated films, and reduction of photocurrent by increase of Ge content.

*El Ghrandi, et al., Thin Solid Films 218 (1992) 259-273: this document generally relates to, inter alia, GeSe films deposited by PECVD, Ag evaporation deposition

onto glass and photodissolution into same, and optical properties are investigated. GeSe stoichiometries of 30/70 and 25/75, respectively, are disclosed.

*El Ghrandi, et al., Phys. Stat. Sol. (a) 123 (1991) 451-460: this document generally relates to, inter alia, dissolution of Ag into GeSe_{5.5} glass by flash evaporation.

El-kady, Indian J. Phys. 70 A (1996) 507-516: this document generally relates to, inter alia, Ge₂₁Se₁₇Te₆₂ glass and memory, switching, and current controlled negative resistance.

Elliott, J. Non-Cryst. Solids 130 (1991) 85-97: this document generally relates to, <u>inter alia</u>, mechanisms of photodissolution of metals (e.g., Ag) in chalcogenides based on ionic and electronic charge carriers.

*Elliott, J. Non-Cryst. Sol. 130 (1991) 1031-1034: this document generally relates to, <u>inter alia</u>, the photodissolution of metals (e.g, Ag) in chalcogenide glasses and the physics thereof.

Elsamanoudy, et al., Vacuum 46 (1995) 701-707: this document generally relates to, <u>inter alia</u>, studies of quaternary chalcogenide films with Te-As-Ge-Si sandwich structures between electrodes.

*El-Zahed and El-Korashy, Thin Solid Films 376 (November 1,2000) 236-240: this document generally relates to, inter alia, $Ge_{20}Bi_xSe_{80-x}$ film analysis regarding conduction and changes from p to n type.

Fadel, Vacuum 44 (1993) 851-855: this document generally relates to, inter alia, a study of the switching and memory characteristics of Se₇₅Ge_{25-x}As_x films.

*Fadel and El-Shair, Vacuum 43 (1992) 253-257: this document generally relates to, inter alia, Se₇₅Ge₇Sb₁₈ glass electrical conduction and thermal character.

Feng, et al., Phys. Rev. Lett. 78 (1997) 4422-4425: this document generally relates to, inter alia, germanium selenide and germanium sulfide materials.

- *Feng, et al., J. Non-Cryst. Solids 222 (1997) 137-143: this document generally relates to, inter alia, the structural character of Ge_xS_{1-x} glass, e.g., hardness and elasticity.
- *Fischer-Colbrie, et al., Phys. Rev. B 38 (1988) 12388-12403: this document generally relates to, inter alia, photodiffused Ag-GeSe₂ and the interaction between doped Ag with Se atoms and Ge with Ge atoms.

Fleury, et al., Phys. Stat. Sol. (a) 64 (1981) 311-316: this document generally relates to, inter alia, amorphous selenium films and their conductance.

Fritzsche, J. Non-Cryst. Sol. 6 (1971) 49-71: this document generally relates to, inter alia, background information on chalcogenides as semiconductors.

Fritzsche, Annual Review of Mat. Sci. 2 (1972) 697-744: this document generally relates to, inter alia, background information on amorphous semiconductors.

Gates, et al., J. Am. Chem. Soc. (2001): this document generally relates to, <u>interallia</u>, creating Ag₂Se nanowires by chemical reaction.

Gosain, et al., Jap. J. Appl. Phys. 28 (1989) 1013-1018: this document generally relates to, <u>inter alia</u>, germanium telluride glasses sandwiched in electrodes and the physics thereof.

*Guin et al., J. Non-Cryst. Sol. 298 (March 28,2002) 260-269: this document generally relates to, <u>inter alia</u>, germanium selenide (GeSe) glass with low hardness, the mechanical properties of which are investigated. Stoichiometries of the glass are disclosed as being, <u>inter alia</u>, 10/90, 20/80, and 30/70, respectively.

*Guin et al., J. Am. Ceram. Soc. 85 (June 2002) 1545-1552: this document generally relates to, <u>inter alia</u>, germanium selenide glasses and a study of the hardness properties thereof. Glass stoichometries of 40/60 and 20/80, respectively, are disclosed.

Gupta, J. Non-Cryst. Sol. 3 (1970) 148-154: this document generally relates to, inter alia, switching in chalcogenides.

Haberland and Stiegler, J. Non-Cryst. Solids 8-10 (1972) 408-414: this document generally relates to, <u>inter alia</u>, glasses containing Te, As, Ge, and Si, and pulse sequence and time factors in switching.

Haifz, et al., J. Apply. Phys. 54 (1983) 1950-1954: this document generally relates to, inter alia, As-Se-Cu glasses.

Hajto, et al., Int. J. Electronics 73 (1992) 911-913: this document generally relates to, inter alia, metal/a-Si:H/metal devices.

Hajto, et al., J. Non-Cryst. Solids 266-269 (May 1,2000) 1058-1061: this document generally relates to, <u>inter alia</u>, a-Si:H ion conductors, polarity-dependant digital and analogue memory, and dependency on contact metals.

Hajto, et al., J. Non-Cryst. Solids 198-200 (1996) 825-828: this document generally relates to, <u>inter alia</u>, electroformed V/a-Si:H/Cr devices.

Hajto, et al., Phil. Mag. B 63 (1991) 349-369: this document generally relates to, inter alia, p+ type amorphous Si memory structures with polarity dependent analogue switching.

Hayashi, et al., Japan. J. Appl. Phys. 13 (1974) 1163-1164: this document generally relates to, inter alia, Au-CdS(CdSe)-Au systems and metal-Se-Sn-SnO₂ systems.

*Hegab, et al., Vacuum 45 (1994) 459-462: this document generally relates to, inter alia, Ge₂₀M₇₅Sb₁₈ glass electrical conduction and thermal character.

Hong and Speyer, J. Non-Cryst. Solids 116 (1990) 191-200: this document generally relates to, inter alia, Cd-Ge-As glass with Ag contacts.

Hosokawa, J. Optoelectronics and Advanced Materials 3 (2001) 199-214: this document generally relates to, inter alia, x-ray scattering experiments on glassy Ge_xSe_{1-x}.

Hu, et al., J. Non-Cryst. Solids 227-230 (1998) 1187-1191: this document generally relates to, <u>inter alia</u>, a-Si:H with Cr and V electrodes.

Hu, et al., Phil. Mag. B. 74 (1996) 37-50: this document generally relates to, inter alia, a-Si:H glasses doped with Cr and analogue memory.

Hu, et al., Phil. Mag. B 80 (January 1, 2000) 29-43: this document generally relates to, inter alia, a-Si:H films doped with Cr-p+.

Iizima, et al., Solid State Comm. 8 (1970) 153-155: this document generally relates to, <u>inter alia</u>, switching and memory effects in As-Te-I^{1,2} and As-Te-Ge-Si³ glass systems. Thermal breakdown is proposed switching effect.

Ishikawa and Kikuchi, J. Non-Cryst. Solids 35 & 36 (1980) 1061-1066: this document generally relates to, inter alia, Ge₂S₂ films with Ag photodissolved therein.

*Iyetomi, et al., J. Non-Cryst. Solids 262 (February 2000) 135-142: this document generally relates to, inter alia, Ag/Ge/Se glasses as a composite of GeSe₂ and Ag₂Se (a fast ion conductor) and polarizability of Se ions.

Jones and Collins, Thin Solid Films 40 (1977) L15-L18: this document generally relates to, inter alia, switching in Se films and switching back with reverse pulse.

Joullie and Marucchi, Phys. Stat. Sol. (a) 13 (1972) K105-K109: this document generally relates to, inter alia, As₂Se₇ glass.

Joullie and Marucchi, Mat. Res. Bull. 8 (1973) 433-442: this document generally relates to, <u>inter alia</u>, As₂Se₅ film conduction and switching.

Kaplan and Adler, J. Non-Cryst. Solids 8-10 (1972) 538-543: this document generally relates to, <u>inter alia</u>, thermal effects on semiconductor switching.

*Kawaguchi and Masui, Japn. J. Appl. Phys. 26 (1987) 15-21: this document generally relates to, <u>inter alia</u>, silver photodoping of chalcogenide films, e.g., Ge₃₀Se₇₀ films.

*Kawasaki, et al., Solid State Ionics 123 (1999) 259-269: this document generally relates to, inter alia, the electrical properties of $Ag_x(GeSe_3)_{1-x}$, conductivity EMF measurements, glass composition, X-ray diffraction, T_g and T_c , Ag ion transport, and glass structure.

*Kolobov, J. Non-Cryst. Solids 198-200 (1996) 728-731: this document generally relates to, <u>inter alia</u>, p-type conductive chalcogenides, materials, and physics thereof.

Korkinova and Andreichin, J. Non-Cryst. Solids 194 (1996) 256-259: this document generally relates to, <u>inter alia</u>, polarization of chalcogenide glass as depending on the materials used for electrode contacts.

*Kotkata, et al., Thin Solid Films 240 (1994) 143-146: this document generally relates to, inter alia, GeSe glass switching and film thickness, memory, current filament, chemical and mechanical switching properties, and discloses that heat treatment or aging improves switching.

Lakshminarayan, et al., J. Instn. Electronics & Telecom. Engrs. 27 (1981) 16-19: this document generally relates to, <u>inter alia</u>, tellurium-containing chalcogenide glasses.

Lal and Goyal, Indian Journal of Pure & Appl. Phys. 29 (1991) 303-304: this document generally relates to, inter alia, theory on chalcogenide switching.

*Leimer et al., Phys. Stat. Sol. (a) 29 (1975) K129-K132: this document generally relates to, inter alia, germanium selenide glass polarization behavior, e.g., inductive and capacitive components.

*Leung, et al., Appl. Phys. Lett. 46 (1985) 543-545: this document generally relates to, inter alia, photoinduced diffusion of Ag into Ge_xSe_{1-x} and techniques for same.

Matsushita, et al., Jap. J. Appl. Phys. 11 (1972) 1657-1662: this document generally relates to, inter alia, Se-SnO₂ film switching and reversibility.

Matsushita, et al., Jpn. J. Appl. Phys. 11 (1972) 606: this document generally relates to, inter alia, polarized memory effect in Se films.

Mazurier, et al., Journal de Physique IV 2 (1992) C2-185 - C2-188: this document generally relates to, inter alia, Te-based glasses.

Messoussi, et al., Mat. Chem. And Phys. 28 (1991) 253-258: this document generally relates to, <u>inter alia</u>, selenium films and Bi electrodes.

*Mitkova and Boolchand, J. Non-Cryst. Solids 240 (1998) 1-21: this document generally relates to, <u>inter alia</u>, the analysis of Group IV and V chalcogenides.

*Mitkova and Kozicki, J. Non-Cryst. Solids 299-302 (May 14, 2002) 1023-1027: this document generally relates to, <u>inter alia</u>, photodissolution of Ag into Se-rich Ge-Se glasses for use in memory devices. The information disclosed in this reference was available to and known by the inventors prior to the filing of the application.

*Mitkova, et al., Phys. Rev. Lett. 83 (1999) 3848-3851: this document generally relates to, <u>inter alia</u>, Ag doped chalcogenides, Ge₂₀Se₈₀ stoichiometry is disclosed, Se rich glasses, Ge rich glasses, stoichiometric glasses, and presence of Ag₂Se.

- *Miyatani, J. Phys. Soc. Japan 34 (1973) 423-432: this document generally relates to, inter alia, electrical and ionic properties of solid solutions (e.g., doped glass), polarization, conductivity, Ag₂Se and Cu₂Se.
- *Miyatani, J. Phys. Soc. Japan 14 (1959) 996-1002: this document generally relates to, inter alia, Ag₂Te and Ag₂Se ion conduction and the chemical potential of silver ions.
- Mott, J. Non-Cryst. Sol. 1 (1968) 1-17: this document generally relates to, <u>interallia</u>, glasses with vanadium or iron.
- *Nakayama, et al., Jpn. J. Appl. Phys. 32 (1993) 564-569: this document generally relates to, <u>inter alia</u>, electrically erasable nonvolatile memories in chalcogenide films of As_xSb_yTe_z, flash evaporative deposition techniques, a high set-voltage compared to read-voltage, V_t creates a "filament," and refresh-type pulse.
- *Nakayama, et al., Jpn. J. Appl. Phys. 39 (November 15, 2000) 6157-6161: this document generally relates to, <u>inter alia</u>, phase transition random access memory (PRAM) made of chalcogenide glass.
- *Nang et al., Jap. J. App. Phys. 15 (1976) 849-853: this document generally relates to, inter alia, Ge_xSe_{1.x} electrical and optical properties; it also discloses Ge_{.80}Se_{.20}, Ge_{.60}Se_{.40}, and Ge_{.50}Se_{.50}.

Narayanan, et al., Phys. Rev. B 54 (1996) 4413-4415: this document generally relates to, inter alia, chalcogenide glass switching as thermally originated.

*Neale and Aseltine, , IEEE Transactions On Electron Dev. Ed-20 (1973) 195-209: this document generally relates to, <u>inter alia</u>, read mostly memories with chalcogenides (e.g., Ge, Te), also discloses "floating gate," and material combinations including Ge and Se.

Ovshinsky and Fritzsche, Metallurgical Transactions 2 (1971) 641-645: this document generally relates to, <u>inter alia</u>, reversible changes in amorphous Si, Be, and B using a laser to write and erase.

Ovshinsky, Phys. Rev. Lett. 21 (1968) 1450-1453: this document generally relates to, <u>inter alia</u>, rapid and reversible resistive switching by electric field in amorphous semiconductors.

Owen, et al., IEE Proc. 129 (1982) 51-54: this document generally relates to, inter alia, a-Si:H, gold or aluminum dots and silver paste.

Owen, et al., Phil. Mag. B 52 (1985) 347-362: this document generally relates to, inter alia, photoinduced chalcogenide effects (As_2S_3) both reversible and irreversible.

*Owen, et al., Int. J. Electronics 73 (1992) 897-906: this document generally relates to, inter alia, threshold and memory switching a-Si:H ion conductor, polarity-dependant digital memory, analogue memory, and device operation dependency on metal contacts.

Pearson and Miller, App. Phys. Lett. 14 (1969) 280-282: this document generally relates to, inter alia, glass diodes.

*Pinto and Ramanathan, Appl. Phys. Lett. 19 (1971) 221-223: this document generally relates to, inter alia, electric field inducement of glass switching "filamentary" path.

Popescu, Solid-State Electronics 18 (1975) 671-681: this document generally relates to, <u>inter alia</u>, the physics of chalcogenide switching.

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Sub	estitute for form 1449A/PTO				Complete if Known
			150514471611	Application Number	09/943,190
SU			IFORMATION	Filing Date	August 29, 2001
	DISCL			First Named Inventor	Kristy A. Campbell
5	STATEMENT	3Y /	APPLICANT	Art Unit	2818
	(use as many she	ets as	necessary)	Examiner Name	Not Yet Assigned
Sheet	1 .	of	8	Attorney Docket Number	M4065.0698/P698

			U.S. PA	TENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	of Cited Document	Passages or Relevant Figures Appear
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁰
	BA	WO 97/48032	12/18/1997	Kozicki et al.		+
	BB	WO 99/28914	06/10/1999	Kozicki et al.		1-1
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Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE eduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449B/PTO 09/943,190 Application Number SUPPLEMENTAL INFORMATION Filing Date August 29, 2001 **DISCLOSURE** Kristy A. Campbell First Named Inventor 2818 STATEMENT BY APPLICANT Group Art Unit Not Yet Assigned Examiner Name of 8 M4065.0698/P698 2 Attorney Docket Number Sheet

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Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Su	bstitute for form 1449B/PT	0		Complete if Known		
				Application Number	09/943,190	
SU	PPLEMENTA	L IN	IFORMATION	Filing Date	August 29, 2001	
	DISCL	OSI	JRE	First Named Inventor	Kristy A. Campbell	
8	TATEMENT	BY A	APPLICANT	Group Art Unit	2818	
				Examiner Name	Not Yet Assigned	
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Su	bstitute for form 1449B/PT	о		C mplete if Known		
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SU	PPLEMENTA	YL IN	IFORMATION	Filing Date	August 29, 2001	
	DISCL	OSI	JRE	First Named Inventor	Kristy A. Campbell	
S	TATEMENT	BY A	APPLICANT	Group Art Unit	2818	
				Examiner Name	Not Yet Assigned	
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			-	Examiner Name	Not Yet Assigned	
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Substitute for form 1449B/PTO				Complete if Known		
				Application Number	09/943,190	
SU	PPLEMENTA	LIN	IFORMATION	Filing Date	August 29, 2001	
	DISCL	OSI	JRE	First Named Inventor	Kristy A. Campbell	
S	TATEMENT I	BY A	APPLICANT	Group Art Unit	2818	
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Substitute for form 1449B/PTO Complete if Known Application Number 09/943,190 SUPPLEMENTAL INFORMATION Filing Date August 29, 2001 **DISCLOSURE** First Named Inventor Kristy A. Campbell STATEMENT BY APPLICANT Group Art Unit 2818 Examiner Name Not Yet Assigned 8 of 8 Attorney Docket Number M4065.0698/P698

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